

FOI Request Response information

FOI request reference:	2016/119
Date request received:	15/05/2016
Date request responded to:	14/06/2016
Category:	Incidents
Tags:	Information, security, Data Protection Act, policy, guidance

Request Detail:

Info re information security events

1a. Approximately how many members of staff do you have?

1b. Approximately how many contractors have routine access to your information?

2a. Do you have an information security incident/event reporting policy/guidance/management document(s) that includes categorisation/classification of such incidents?

2b. Can you provide me with the information or document(s) referred to in 2a? (This can be an email attachment of the document(s), a link to the document(s) on your publicly facing web site or a 'cut and paste' of the relevant section of these document(s))

3a. Do you know how many data protection incidents your organisation has had since April 2011? (Incidents reported to the Information Commissioners Office (ICO) as a Data Protection Act (DPA) breach)

Answer: Yes, No, Only since (date):

3b. How many breaches occurred for each Financial Year the figures are available for?

Answer FY11-12: FY12-13: FY13-14: FY14-15:

4a. Do you know how many other information security incidents your organisation has had since April 2011? (A breach resulting in the loss of organisational information other than an incident reported to the ICO, eg compromise of sensitive contracts or encryption by malware.)

Answer: Yes, No, Only since (date):

4b. How many incidents occurred for each Financial Year the figures are available for?

Answer FY11-12: FY12-13: FY13-14: FY14-15:

5a. Do you know how many information security events/anomaly your organisation has had since April 2011? (Events where information loss did not occur

but resources were assigned to investigate or recover, eg nuisance malware or locating misfiled documents.)

Answer: Yes, No, Only since (date):

5b. How many events occurred for each Financial Year the figures are available for?

Answer FY11-12: FY12-13: FY13-14: FY14-15:

6a. Do you know how many information security near misses your organisation has had since April 2011? (Problems reported to the information security teams that indicate a possible technical, administrative or procedural issue.)

Answer: Yes, No, Only since (date):

6b. How many near-misses occurred for each Financial Year the figures are available for?

Answer FY11-12: FY12-13: FY13-14: FY14-15:

Response Detail:

The Trust response to your recent FOI request is as follows:

Q1a. On 9th May approximately 6,700 people were employed by the Trust.

Q1b. We are unable to provide information as access is not categorised showing contractor status. All system access follows the same formal access procedure and IG training requirements regardless of employment status.

Q2a. Yes

Q2b. Please see policy document attached

Q3a. Yes

Q3b.

Year	Incidents reported to ICO via SIRI
2011/2	0
2012/3	1
2013/4	4
2014/5	7

Q4a. Yes

Q4b. The following information is based on the categories available in the Trust DATIX system:

Year	Total no	Access to confidential files	Breach - patient confidentiality	Breach - staff confidentiality	HR records loss / damage	Email / letter to wrong recipient	Fax to wrong recipient	Loss / damage of IT equip	IT equip - deliberate damage / theft	Trust pen drive
2011/12	63	0	44	11	1	4	1	2	0	0
2012/13	138	4	70	24	4	10	3	20	2	1
2013/14	195	10	104	28	5	22	4	20	2	0
2014/15	148	12	64	8	0	61	3	0	0	0

Q5a. No as information is not held in a searchable format at this time.

Q5b. Not applicable see above

Q6a. Yes

Q6b. The following information is based on the categories available in the Trust DATIX system:

Year	Total no	Breach - other	Breach - patient confidentiality	Breach - staff confidentiality	Misc	Email / letter to wrong recipient	Fax to wrong recipient	ID Badge / smartcard loss	Loss / damage of IT equip	Unable to access data
2011/12	5	1	3	1	0	0	0	0	0	0
2012/13	28	5	8	2	0	3	1	4	5	0
2013/14	24	5	8	3	0	5	0	0	3	0
2014/15	54	0	11	12	19	5	0	2	0	5

Please click on the paperclip symbol, on the left hand toolbar, to see additional attachments.